# **Depression in Orthodontic Patients**

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# ABSTRACT

## BACKGROUND

This study aimed to determine the prevalence of depression in a group of patients referred for orthodontic treatments which can be helpful in the better and more successful treatment planning.

#### **METHODS**

Beck's depression inventory was administered to 350 patients in the age group of 16 - 29 years who were referred to the Department of Orthodontics. Each patient was examined by the orthodontist for type of malocclusion classifications and finally the relation between the severity of the depression and the type of malocclusion was determined. SPSS software was used for analysing the data using the chi-square, one-way Anova, t-test and Tukey's test. The significance was set at 0.05.

#### RESULTS

Prevalence of depression among class I, II, and III patients was 22.4%, 32.7% and 39.6%, respectively. There was no significant relation between gender, marital status, educational status, and depression (p>0.05). However, there was a significant relation between types of malocclusion and depression (p<0.05). The relation between class I and class III malocclusions and depression was significantly different, with the highest depression prevalence in Class III patients (p = 0.003).

#### CONCLUSIONS

There is a relatively high prevalence of depression among orthodontic patients, especially among patients with Angle's class III malocclusion.

## **KEY WORDS**

Prevalence, Depression, Orthodontics, Malocclusion

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Copyright © 2020 Elaheh Rafiei et al. This is an open access article distributed under Creative Commons Attribution License [Attribution 4.0 International (CC BY 4.0)] In every society, paying attention to the physical, mental, social, and cultural health of individuals and providing the necessary conditions for an active and healthy life ensures the health of that community for years to come.<sup>1</sup> today, the World Health Organization has expressed mental health as one of the most critical health problems around the world.<sup>2</sup>

The evidence suggests that depression is the most common psychological problem in today's life, and estimations show more than 20 percent of the public society suffers from emotional distress and depression.<sup>3</sup>

Malocclusion is referred to as the abnormal status of the teeth or the skeletal position of maxilla and mandible with each other.<sup>4</sup> It has been found that people are depressed due to the irregularity of their teeth and consequently, their unwillingness to laugh, and one of the best ways to solve this problem is orthodontic treatment.<sup>5</sup> Orthodontic treatments may focus only on correcting the teeth or changing the pattern and direction of maxillary and mandibular growth (orthosurgery therapies).<sup>6</sup>

The study of Zhang et al,<sup>7</sup> on personality and psychological aspects of individuals stated that adults with malocclusion have higher scores of mental disorders than those with natural occlusion and they also stated psychological tests can well determine qualitative and quantitative changes in diagnosis and treatment of patients so this can lead to better understanding of the patient's concerns and demands.

Macias Gago et al,<sup>8</sup> reported the increase in the malocclusion severity creates less physical attraction in individuals. Another research conducted by Philips et al,<sup>9</sup> stated that psychological study of the patients before starting the orthodontic treatment is better than studying the patients who have started orthodontic treatment. Early diagnosis of depressive sources and attempting to modify them can have a significant impact on the mental health of orthodontic patients and subsequently in the community and can lead to satisfaction and ultimately confidence in them.<sup>5</sup>

The best results of orthodontic treatment are achieved when the underlying psychological problem is diagnosed, and the patient's expectations of orthodontics are determined.<sup>10</sup> Moreover, it is necessary that the use of orthodontic appliances be carefully considered and before using them, their advantages and disadvantages must be assessed in order not to have a negative effect on the psychological and mental state of the patient.<sup>11</sup>

So despite the relatively large studies that have been done in the field of depression, information about the prevalence of depression in the group of patients, especially the prevalence of this disorder in patients who want to do orthodontics treatment in Iran, is not much available. Considering the different probability of depressive resources and mental health of orthodontic patients in the Iranian community, this research designed to determine depression's prevalence in the patients aged between 16-29 years referred for orthodontic treatments in the city of Yazd in Iran in 2016-2017.

#### METHODS

This descriptive cross sectional study was reviewed and approved by the Research Ethics Committee under code "IR. SSU. REC. 13950180". Considering the sample size formula-

$$(n = \frac{Z_{1-\frac{\alpha}{2}}^2 P(1-P)}{d^2})$$

Previous prevalence of depression in orthodontic patients (P) was 18 %, taking into account  $\alpha$  = 0.05 and d = 0.04, the sample size was calculated n = 350 samples. The statistical population of this study consisted of patients aged 16 to 29 years seeking orthodontic treatment referred to the Department of Orthodontics between 2016 and 2018. A list of 1100 patients was arranged and using simple random sampling, 350 of them were selected and then informed consent for participating in the study was taken. Inclusion criteria included patient satisfaction with participation in the study, age group of 16 to 29 years, and need for orthodontic treatment. Exclusion criteria included patients who had histories such as systemic disease, any mental disorder, depression, antidepressant and pregnancy medication use. Also, unfinished questionnaires were excluded. In this study, the data collection tool was a two-part questionnaire. The first part of the questionnaire was related to the demographic data (gender, marital status, educational status) of the patients, while the second section was related to Beck's Depression Inventory (BDI). The questionnaire included 21 questions assessing the severity of depression symptoms. There are four items for each of the questions from zero (absence) to three (severe), and the total score of the questionnaire includes a range from zero to 63. The depression assessment criterion was based on the Likert Scale as following: 0-16 ratings indicate the absence of depression, 17-32 is mild depression, 33-47 is moderate depression and 48-63 indicates severe depression. An orthodontist examined each patient for the type of malocclusion classifications based on Angle's classification (187 class I malocclusion, 110 class II malocclusion and 53 class III malocclusion). Finally the relation between the severity of the depression and the type of malocclusion was determined. After data collection, they were entered into SPSS software (v. 23; IBM, NY, USA). This software was used for analyzing the data using a parametric t-test, Chi-Square, Tukey, and one-way ANOVA tests. The Tukey's significant difference test was used to evaluate the significant groups. The significance level was set at 0.05.

#### RESULTS

Prevalence of depression according to gender, marital status, and the educational state of the patients is shown in table (1). A total of 350 patients completed the questionnaire that 29.4 % of the samples were male, 70.6 % female, 26 % were married, 74 % single, 38.3 % had a bachelor's degree, and 27.4 % had a lower high school diploma (Table 1).

Variables	Total (350)	Patients with no Depression (251)	Patients with Mild Depression (87)	Patients with Moderate Depression (12)	P Value				
Gender									
Male	103	79	19	5	0.151				
	(29.4 %)	(76.7 %)	(18.4 %)	(7.9 %)					
Female	247	172	68	7					
	(70.6%)	(69.6 %)	(27.5 %)	(2.8 %)					
Marital Status									
Single	91	185	65	9					
	(26 %)	(71.4 %)	(25.1 %)	(3.5 %)	0.00				
Married	259	66	22	3	0.90				
	(74 %)	(72.5 %)	(24.2 %)	(3.3 %)					
Education Status									
Under grad.	96	64	28	4					
	(27.4 %)	(66.7 %)	(29.2 %)	(4.2 %)					
High school	91	64	24	3					
diploma	(26 %)	(70.3 %)	(26.4 %)	(3.3 %)	0 6 4 2				
Associate	29	19	9	1	0.042				
degree	(8.3 %)	(65.5 %)	(31 %)	(3.4 %)					
Bachelor and	134	104	26	4					
higher	(38.3 %)	(77.6 %)	(19.4 %)	(3%)					
Table 1. Prevalence of Depression among Orthodontic Patients According to Gender, Marital Status and Educational Status									

Of the 350 patients, 99 (28.3%) were screened positive for depression. From these 99 patients, 87 (24.85%) were suffering from mild depression, and 12 (3.42%) were suffering from moderate depression. The prevalence of depression according to types of malocclusion is shown in table 2.



There was no significant difference between depression and gender, marital status, and educational state of patients (p>0.05). However, the relation between depression severity and the type of malocclusion was significant (p<0.05). The depression prevalence in class III malocclusion was significantly more than class I malocclusion (p = 0.003) (Table 3).

Variable	Malocclusions		Mean difference	Std. Error	P Value			
depression	Class I	Class II	-1.67	1.03	0.024			
		Class III	-4.40	1.34	0.003*			
	Class II	Class I	1.67	1.03	0.024			
		Class III	-2.72	1.44	0.142			
	Class III	Class I	4.4	1.34	0.003*			
		Class II	2.72	1.44	0.142			
Table 3. Post Hoc Tukey Test Results in								
Different Types of Malocclusion								
Note: p value<0.05 is marked with asterisk (*). Std. Error: standard deviation Error								

#### DISCUSSION

This research was designed to determine the prevalence of depression in the patients aged between 16-29 years referred for orthodontic treatments. It is hoped that findings from this study would help to increase the awareness of orthodontists about depression's prevalence in patients with malocclusion and the method of identifying the patient's underlying problem, which can be helpful in the better and more successful treatment plan.

Beck reported the reliability of beck's questionnaire 0.93, and Williams stated that its reliability was 0.90, and its retest reliability was 0.75.12 Beck's inventory validity has been reported high and varying between 0.70-0.90 in other studies, including Vahabzadeh's study in 1973, Partovi in 1975, and Chegini in 2002.<sup>13,14</sup> In the current study, the prevalence of depression among the orthodontic patients has been reported 28.3%; and depression's prevalence in the patients with class I, II, and III malocclusions is 22.4 %, 32.7 %, and 39.6 %, respectively. The study of Takatsuji et al.<sup>15</sup> emphasizes that among the psychological disorders in patients with malocclusion, depression has the highest prevalence. Also in the study of Burden et al.<sup>9</sup> the rate of depression and the other psychological disorders assessed by CDI and BDI questionnaires in the patients of classes II and III have been reported 27 % and 25 %, respectively. In 2014, the study performed by Rashid et al, <sup>16</sup> on the orthodontic patients referring to the tertiary care hospital in Pakistan, showed a 31.64 % prevalence of depression. In another study conducted on a Chinese population referring for orthodontic treatment, the depression rate has been reported 24.71%.<sup>17</sup> The results of the studies indicate a difference in the prevalence of malocclusion in various geographical areas and different cities; these differences are probably due to the ethnic, racial, and cultural differences in different climates and societies. The current study shows that the prevalence of depression in the orthodontic patients of Iranian society is slightly higher than those of Irish and Chinese communities.

Another finding from our study indicated that the prevalence of depression in patients with different malocclusions was significantly different, so that the prevalence of depression in class III patients was more than other malocclusions. The present study also demonstrated the patients with class I malocclusion had the least prevalence of depression; this suggests that there is a relation between the types of malocclusion and depression. The study of Takatsuji ET al.<sup>15</sup> also showed that depression's prevalence in class III malocclusion patients was higher than those of class I and II patients. This study was also consistent with Klima et al.<sup>18</sup> The reason for the higher prevalence of depression among patients with class III malocclusion can be the poor masticatory efficiency and ability compared to the patients with class II or I malocclusions and the fact that patients with class III malocclusion may distort the consonants Zh / Sh / Z / Ch which can lead to speech problems.<sup>19</sup> Also, the patient's facial appearance with Class III malocclusion can be less acceptable in different communities. These all demonstrate the low quality of life in Class III patients, which can lead to low selfesteem and depression. The findings of Zhang et al, <sup>7</sup> about the personality and psychological aspects suggest that adults with class I, II, and III malocclusion have higher scores of psychological disorders compared to the patients with normal occlusion, however patients with class II malocclusion scored higher on psychoticism. This can indicate the acceptance of Class III patients in the Chinese society as the prevalence of class III malocclusion is higher in Chinese community.20 According to the present study, the rate of depression in women has been reported more than men; however, this was

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not significantly different. In the study of Burden ET al<sup>9</sup> about two-third of the referring patients were female that shows women are more eager to correct the class II and III malocclusions. Also, in the current study, 70.6% of the patients were female. This finding can confirm women pay more attention to their facial appearance. Other study emphasized that compared to men, women are more likely to show depression symptoms and psychological diseases.<sup>21</sup> Restrictions on sampling and limited number of samples to select as the control group were the most important limitations of this study. More accurate and reliable results could be obtained if more studies were conducted with larger sample size and presence of a control group.

#### CONCLUSIONS

There is a relatively high prevalence of depression among orthodontic patients, especially the patients with Angle's class III malocclusion. Orthodontists should be aware of the prevalence of depression in patients referred for orthodontic treatments, and identifying them can lead to a better patient management. In this way, many problems arising from abnormal psychological problems and behaviours can be minimized.

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